



Rapid sulfuric acid–dimethylamine nucleation enhanced by nitric acid in polluted regions

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Recent research [Wang et al., *Nature* 581, 184–189 (2020)] indicates nitric acid (NA) can participate in sulfuric acid (SA)–ammonia (NH₃) nucleation in the clean and cold upper free troposphere, whereas NA exhibits no obvious effects at the boundary layer with relatively high temperatures. Herein, considering that an SA–dimethylamine (DMA) nucleation mechanism was detected in megacities [Yao et al., *Science* 361, 278–281 (2018)], the roles of NA in SA–DMA nucleation are investigated. Different from SA–NH₃ nucleation, we found that NA can enhance SA–DMA–based particle formation rates in the polluted atmospheric boundary layer, such as Beijing in winter, with the enhancement up to 80-fold. Moreover, we found that NA can promote the number concentrations of nucleation clusters (up to 27-fold) and contribute 76% of cluster formation pathways at 280 K. The enhancements on particle formation by NA are critical for particulate pollution in the polluted boundary layer with relatively high NA and DMA concentrations.

atmospheric aerosol | nitric acid | nucleation | sulfuric acid–dimethylamine | polluted regions

New particle formation (NPF) can contribute to more than half of the atmospheric aerosols in terms of their number abundance, which can have adverse impacts on the environment and human health. New particles can also lead to the production of larger, longer-lived particles that can then serve as cloud condensation nuclei and have further profound impacts on the climate (1, 2). In the NPF process, nucleation is viewed as the key stage (1), in which sulfuric acid (SA) is regarded as the dominant nucleation precursor due to its extremely low volatility and high acidity (3, 4). In addition, a number of species have been identified to participate in the atmospheric NPF. However, the prevailing nucleation mechanisms cannot fully elucidate the complex nucleation in the polluted regions (5–7). Hence, there are still unidentified nucleation species or mechanisms responsible for NPF in the atmosphere.

Nitric acid (NA), an important atmospheric inorganic acid, can be generated from photochemical smog, combustion of fossil fuels or biomass, and many other natural and anthropogenic sources (8). It is one of the most abundant pollutants in the atmosphere, the concentration of which can reach as high as 10¹¹ molecules · cm⁻³ in polluted areas (9–11). However, its role in the NPF has always been neglected. In the year 2020, Wang et al. performed a CLOUD (Cosmics Leaving Outdoor Droplets) chamber experiment (12) to investigate the role of NA in the nucleation process of SA–NH₃ particles. Their results indicate that NA can participate in the SA–NH₃ nucleation in the relatively clean and cold upper free troposphere, especially in agricultural areas where NH₃ is abundant (12, 13). However, it has a negligible effect on SA–NH₃ nucleation at the atmospheric boundary layer due to the relatively high temperature. The role of NA in the polluted atmospheric boundary layer was also not considered, although there is more NA in the polluted areas than relatively clean areas. Thus, it is urgent to give the role of NA in tropospheric nucleation a comprehensive perspective. Although field

measurements showed that the NPF process in certain areas may be dominated by nucleation involving SA and dimethylamine (DMA) (14), there were still many kinds of unidentified species. The role of abundant NA (15) in SA–DMA nucleation cannot be eliminated because of the nitrate reagent ions used in this measurement protocol, which may mask the signal of clusters involving NA. Thus, to elucidate the role of NA in polluted regions, it is important to investigate the effects of NA on SA–DMA nucleation, the results of which could likely help to further explain frequent NPF events in the polluted atmospheric boundary layer.

Herein, we studied the roles of NA in the SA–DMA nucleation process in the atmospheric boundary layer using Density Functional Theory combined with Atmospheric Clusters Dynamic Code (ACDC) (16, 17). The enhancement degrees by NA on particle formation rates and number concentrations of clusters in the SA–DMA system are predicted under different atmospheric conditions related to the boundary layer. Finally, the SA–DMA–NA rapid nucleation mechanism is proposed based on our theoretical simulation results.

Results and Discussion

Particle Formation Rates Enhanced by NA. NA can form clusters (*SI Appendix, Fig. S1*) with SA and DMA by relatively strong intermolecular hydrogen bonds as indicated by topological analysis (*SI Appendix, section S1 and Table S1*) according to the atoms in molecules theory (18) and even proton-transfer interaction as shown by color-filled localized orbital locator (19) maps (*SI Appendix, Fig. S2*) and the proton-transfer parameter (20, 21) (*SI Appendix, section*

Significance

Nucleation of atmospheric aerosol particles can contribute to nearly half of global cloud condensation nuclei. Nitric acid (NA) is one of the most abundant atmospheric pollutants. A recent study suggested that NA is likely to participate in the sulfuric acid–ammonia nucleation only in the clean and cold upper free troposphere, but its role in the polluted atmospheric boundary layer was not considered. Herein, we found that NA can extensively participate in and significantly enhance the predominant sulfuric acid–dimethylamine nucleation in the polluted boundary layer. Thus, controls on the emissions of nitrogen pollutants are likely significant for the reduction of particulate pollution, especially in the polluted regions.

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S1 and Table S2). Moreover, clusters containing NA, such as $(\text{SA})_1(\text{DMA})_2(\text{NA})_1$, $(\text{SA})_1(\text{DMA})_3(\text{NA})_2$, and $(\text{SA})_2(\text{DMA})_3(\text{NA})_1$, can continue to grow to larger clusters with mobility diameters larger than 1.5 nm due to their collision rates being higher than their corresponding evaporation rates (SI Appendix, section S2 and Fig. S3). This indicates that these clusters involving NA can potentially participate in the SA-DMA nucleation process. Thus, it is necessary to further study the effects of NA on the particle formation rates of the SA-DMA nucleation system.

The particle formation rate (J) was studied to understand the detailed role of NA in the SA-DMA particle formation process. As shown in Fig. 1A, the simulated J of the SA-DMA system, which is proved to be the predominant nucleation mechanism in Shanghai (14), by ACDC (black dashed lines) in the present study is close to the measured J in NPF events (12) in Shanghai (gray squares), China. This indicates the reliability of the ACDC simulation on the SA-DMA system. At the predicted [DMA] of 1.25×10^8 molecules \cdot cm $^{-3}$ in NPF events in Shanghai, the simulated J at [NA] in the range of 1.0×10^{10} molecules \cdot cm $^{-3}$ (magenta line) to 1.0×10^{11} molecules \cdot cm $^{-3}$ (green line) can be comparable with some of the measured J (gray squares) and can

be higher than the simulated J of the pure SA-DMA system (black dashed line) by up to 1.5-fold at [SA] of 5.0×10^6 molecules \cdot cm $^{-3}$ [approximate to the reported SA concentration in Shanghai (12)] and 5.0-fold at [SA] of 1.0×10^6 molecules \cdot cm $^{-3}$. Thus, NA can potentially participate in SA-DMA nucleation at the observed [DMA] of 1.25×10^8 molecules \cdot cm $^{-3}$ in Shanghai. When [DMA] increases from 1.25×10^8 molecules \cdot cm $^{-3}$ to 1.0×10^9 molecules \cdot cm $^{-3}$, the simulated J of the SA-DMA system by ACDC (black line in SI Appendix, Fig. S4) can increase to be comparable with most of the relatively high measured J in Shanghai (gray squares in SI Appendix, Fig. S4). The simulated J can further increase gradually with the increase of [NA] at [DMA] of 1.0×10^9 molecules \cdot cm $^{-3}$. At [DMA] of 1.0×10^9 molecules \cdot cm $^{-3}$ and [SA] of 5.0×10^6 molecules \cdot cm $^{-3}$, the J at [NA] of 1.0×10^{10} molecules \cdot cm $^{-3}$ and 1.0×10^{11} molecules \cdot cm $^{-3}$ can be about 1.7-fold (red line in SI Appendix, Fig. S4) and 15.6-fold (blue line in SI Appendix, Fig. S4) higher than the corresponding J of SA-DMA system (black line in SI Appendix, Fig. S4), respectively. Therefore, it is possible that NA participates in SA-DMA nucleation in Shanghai when there is heavy traffic, resulting in high [NA] and [DMA] (15). SA-DMA-NA

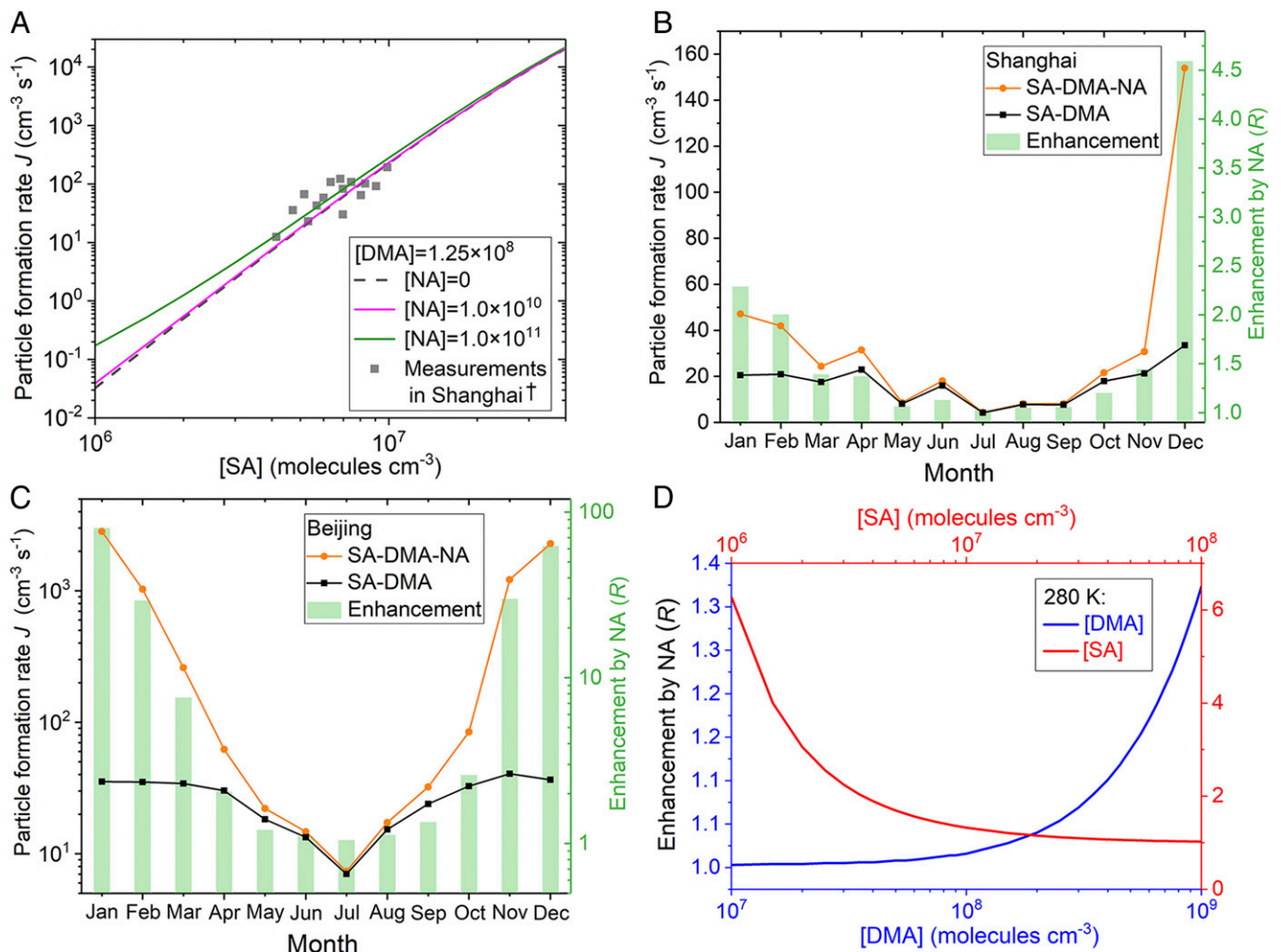


Fig. 1. (A) Particle formation rates (J , cm $^{-3}$ \cdot s $^{-1}$) as a function of [SA] and 280 K. Gray squares represent the measured particle formation rates in Shanghai, China[†]. Lines represent the simulated J by ACDC at the corresponding concentrations of nucleation precursors shown in the graph legend. Simulated particle formation rates of the SA-DMA-NA (orange circles) and SA-DMA (black squares) systems and the enhancement by NA ($R = J_{\text{SA-DMA-NA}}/J_{\text{SA-DMA}}$) in different months depending on the corresponding temperature and DMA concentration in (B) Shanghai, China, and (C) Beijing, China. [SA] = 5.0×10^6 molecules \cdot cm $^{-3}$ and [NA] = 1.0×10^{10} molecules \cdot cm $^{-3}$. (D) Enhancement on particle formation rate by NA ($R = J_{\text{SA-DMA-NA}}/J_{\text{SA-DMA}}$) as a function of the [DMA] (blue line, [SA] = 1.0×10^7 molecules \cdot cm $^{-3}$, [NA] = 1.0×10^{10} molecules \cdot cm $^{-3}$) and [SA] (red line, [DMA] = 1.0×10^9 molecules \cdot cm $^{-3}$, [NA] = 1.0×10^{10} molecules \cdot cm $^{-3}$) at 280 K. [†]Yao et al. (14).

clusters were not captured in previous field measurements in Shanghai (14), possibly because nitrate reagent ions were used in the mass spectrometer measurement protocol, which masked the signal of clusters involving NA. If there are suitable reagent ions for the measurement of NO_3^- , the SA-DMA-NA clusters may potentially be measured in field experiments.

To understand the detailed influence of NA on SA-DMA nucleation under actual atmospheric conditions, J and enhancement by NA (R) were studied under the corresponding measured temperatures from the NASA Langley Research Center POWER Project (22) and DMA concentrations associated with predictions by the global chemistry-transport model of GEOS-Chem (23) in different months in six typical cities. The corresponding [DMA] and temperatures in different months of the studied cities are shown in *SI Appendix, Table S3*. The simulated particle formation rates (Fig. 1B) are almost at the same order of magnitude as the measurements in Shanghai (12), among which the J in December is about one order of magnitude higher than those in other months because of the low temperature and high [DMA] in December (*SI Appendix, Table S3*). The participation of NA in SA-DMA nucleation can lead to J being up to 4.6 times higher in cold and polluted December. Moreover, the enhancement of R by NA is relatively significant and can be up to 80-fold in January in Beijing (Fig. 1C). The role of NA in other polluted and less polluted areas has also been studied (*SI Appendix, Fig. S5*). The simulated particle formation rates in Värriö, Finland, do not change significantly with seasonal variations, which is similar with the variation trend by measurements (24, 25). The R is around one all year round in Värriö. Therefore, the enhancement by NA on NPF is not obvious in less polluted areas and can be significant in cold and polluted regions.

The enhancements on particle formation rate by NA at different concentrations of nucleation precursors are further explored. As shown in Fig. 1D, the enhancement on the SA-DMA particle formation rate by NA ($R = J_{\text{SA-DMA-NA}}/J_{\text{SA-DMA}}$) at the common temperature of the atmospheric boundary layer (280 K) can be up to 6.3-fold at relatively high [DMA] (1.0×10^9 molecules \cdot cm^{-3}), medium [NA] (1.0×10^{10} molecules \cdot cm^{-3}), and low [SA] (1.0×10^6 molecules \cdot cm^{-3}). Furthermore, the enhancement on J by NA can increase with decreasing temperature and can be up to 3.7×10^6 -fold at 240 K (*SI Appendix, Fig. S6*). Though sulfur-containing species can be emitted to the atmosphere from natural sources, and the changes in its anthropogenic emissions may change the ambient particles and further influence the survival process of new particles, the role of NA in the NPF process may likely become significant to some extent with the effective control of the emissions of sulfur-containing pollutants. Therefore, in the regions far away from the emission source of sulfur-containing pollutants, the frequent and intense NPF can also occur with the enhancement of NA, especially at relatively low temperatures, high [DMA], and high [NA], such as in the polluted atmospheric boundary layer in urban areas with heavy traffic or near sources of nitrogen-containing gas and far away from industrial sources of sulfur-containing gas, especially in winter.

Previously, the CLOUD experiment revealed that NA can enhance SA-NH₃ nucleation in the cold and clean upper troposphere with relatively low [SA] (12). The J of the SA-NH₃-NA and SA-DMA-NA systems are compared (*SI Appendix, Fig. S7*) to better understand the role of NA in the NPF process. The simulated J of the SA-DMA-NA system is about three orders of magnitude higher than the simulated J of the SA-NH₃-NA system under the corresponding CLOUD experimental conditions (12). The Gibbs free energies of formation (ΔG) for SA-DMA-NA clusters are more negative than those for SA-NH₃-NA clusters (*SI Appendix, Tables S4 and S5*), which is caused by the stabilizing effects of both DMA and NA. The more negative ΔG leads to the stability of SA-DMA-NA clusters being stronger than that of

SA-NH₃-NA clusters, and thus the J of the SA-DMA-NA system is higher than that of the SA-NH₃-NA system. Therefore, NA not only participates in SA-NH₃ nucleation in the clean and cold upper troposphere but can also participate in SA-DMA nucleation more rapidly in the polluted atmospheric boundary layer with relatively high temperatures.

Nucleation Cluster Concentrations Enhanced by NA. Though the particle formation rate is always studied by the experiments or filed measurements that can reflect the kinetic characteristics of the particle formation process, the number concentration of particles is a more relevant variable for many applications (26, 27). Thus, to study the influence of NA on the concentrations of SA-DMA clusters, the cluster concentrations of the SA-DMA-NA system were explored at different temperatures (280 K and 260 K) and different nucleation precursor concentrations ([NA], [SA], and [DMA]) relevant to the atmospheric boundary layer.

Under the concentration conditions of SA and DMA corresponding to the relatively strong enhancement of R by NA ([SA] = 5.0×10^6 molecules \cdot cm^{-3} and [DMA] = 1.0×10^9 molecules \cdot cm^{-3}), the concentrations of clusters with different numbers of molecules at the common temperature in the atmospheric boundary layer of 280 K were studied. As shown in Fig. 2A, it can be discerned that concentrations of clusters with different numbers of molecules of the SA-DMA-NA system (orange, green, and blue histograms) are all higher than those of clusters with the same number of molecules of the SA-DMA system (gray histograms) at different [NA]. Thus, the participation of NA can enhance the number concentrations of clusters at different [NA] in the formation of SA-DMA clusters. The detailed enhancement on cluster concentrations by NA at different [NA] was further explored at the same concentrations of nucleation precursors and 280 K. As shown in Fig. 2B, the enhancements on concentrations of different kinds of clusters (with different numbers of molecules) in the SA-DMA system by NA are positively correlated with [NA] and can be up to 27-fold at [NA] of 1.0×10^{11} molecules \cdot cm^{-3} . For the largest studied clusters with six molecules and the mobility diameter larger than 1.5 nm, the enhancement on their concentrations by NA can be about eightfold at [NA] of 1.0×10^{11} molecules \cdot cm^{-3} . Furthermore, the concentrations of clusters and the enhancement of cluster concentration by NA at 280 K at different [DMA] and [SA] are illustrated in *SI Appendix, Fig. S8*. It can be seen that the participation of NA can enhance the number concentrations of clusters in the SA-DMA system at different [SA] and [DMA], and the enhancement by NA can be particularly significant at relatively low [SA] and high [DMA].

Furthermore, at different concentrations of nucleation precursors, the cluster concentrations and the concentration enhancements by NA at 260 K are all higher than those at 280 K, and the enhancement at 260 K can be up to 354-fold (*SI Appendix, Fig. S9*). For the largest studied clusters with six molecules and the mobility diameter larger than 1.5 nm, the enhancement on their concentrations of by NA can be 176-fold at [NA] of 1.0×10^{11} molecules \cdot cm^{-3} and 260 K. The enhancement on cluster concentration by NA can increase as temperature decreases. Thus, it can be expected that the participation of NA in SA-DMA nucleation can likely enhance the number concentration of particulates significantly in the atmospheric boundary layer of cold and polluted areas with relatively high [NA] and [DMA].

Nucleation Cluster Formation Mechanisms Involving NA. To understand the mechanism whereby NA influences the formation of SA-DMA clusters in the atmospheric boundary layer, the cluster formation mechanism of the SA-DMA-NA system was studied at relevant nucleation precursor concentrations and temperatures. At [SA] of 5.0×10^6 molecules \cdot cm^{-3} and [DMA] of 1.0×10^9 molecules \cdot cm^{-3} corresponding to relatively strong enhancement

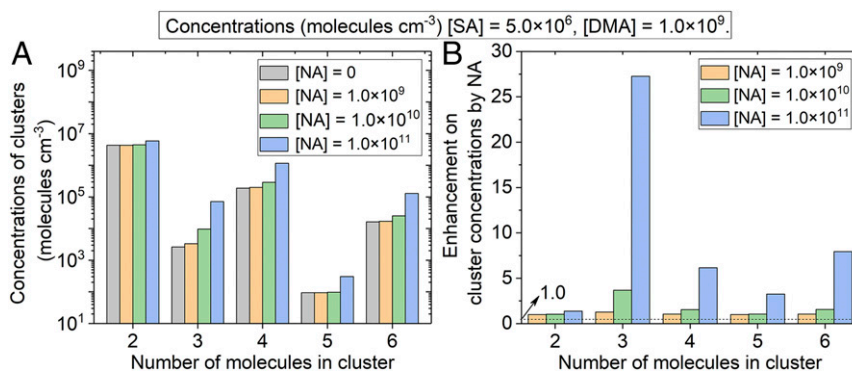


Fig. 2. (A) Concentrations (molecules · cm⁻³) of clusters with different numbers of molecules for the SA-DMA-NA system and SA-DMA system, and (B) enhancement on concentrations of clusters with different numbers of molecules in the SA-DMA system by NA at different [NA] and 280 K.

of particle formation rates and cluster concentrations by NA, Fig. 3 shows the cluster formation pathway at different [NA] and different temperatures (280 K and 260 K). The (SA)₃(DMA)₃, (SA)₂(DMA)₂, (SA)₁(DMA)₃(NA)₂, and (SA)₁(DMA)₂(NA)₁, (SA)₂(DMA)₃(NA)₁ clusters can continue growing to or larger than the boundary clusters [(SA)₄(DMA)₃, (SA)₄(DMA)₄, (SA)₃(DMA)₄(NA)₁, (SA)₂(DMA)₄(NA)₂, and (SA)₁(DMA)₄(NA)₃]. The formation of the boundary clusters or larger clusters can contribute to the sum of particle formation rates. It is discernable that NA can participate in the cluster formation process.

At 280 K, the contribution of NA to the main cluster formation pathway increases from 0% to 76% (38% + 29% + 9%) with [NA] increasing from 1.0 × 10⁹ molecules · cm⁻³ to 1.0 × 10¹¹ molecules · cm⁻³ (Fig. 3). When [NA] increases up to 1.0 × 10¹¹ molecules · cm⁻³, clusters involving two molecules of NA, (SA)₁(DMA)₃(NA)₂, can also continue growing in addition to clusters involving one molecule of NA, (SA)₁(DMA)₂(NA)₁ and (SA)₂(DMA)₃(NA)₁. Therefore, not only can the contribution of NA to the main cluster formation pathway increase with the increase of [NA] but the number of NA molecules contained in clusters [(SA)₁(DMA)₂(NA)₁, (SA)₂(DMA)₃(NA)₁, and (SA)₁(DMA)₃(NA)₂] that can contribute to cluster growth can also be positively

correlated with [NA]. The contributions of cluster formation pathways involving NA can increase with decreasing temperature, up to 83% (45% + 31% + 7%) at [NA] of 1.0 × 10¹⁰ molecules · cm⁻³ and 260 K (Fig. 3).

SI Appendix, Fig. S10 also shows the main cluster formation pathway at different [DMA] and [SA]. The contribution of NA to the main cluster formation pathway has a negative correlation with [SA] and a positive correlation with [DMA]. Therefore, NA not only participates in the SA-NH₃ nucleation process in the clean and cold upper troposphere (12) but can also extensively participate in the SA-DMA nucleation process and significantly contribute to the total cluster formation pathways in the polluted atmospheric boundary layer with high [DMA] and [NA] and low [SA] (Fig. 4), such as in megacities with heavy traffic and far away from emission sources of sulfur-containing pollutants. Moreover, the contributions can be more significant at lower temperatures, such as in winter.

Conclusion

We found that NA can significantly enhance SA-DMA cluster formation rates, especially in cold polluted areas, such as Beijing in winter. NA can also enhance the number concentration of

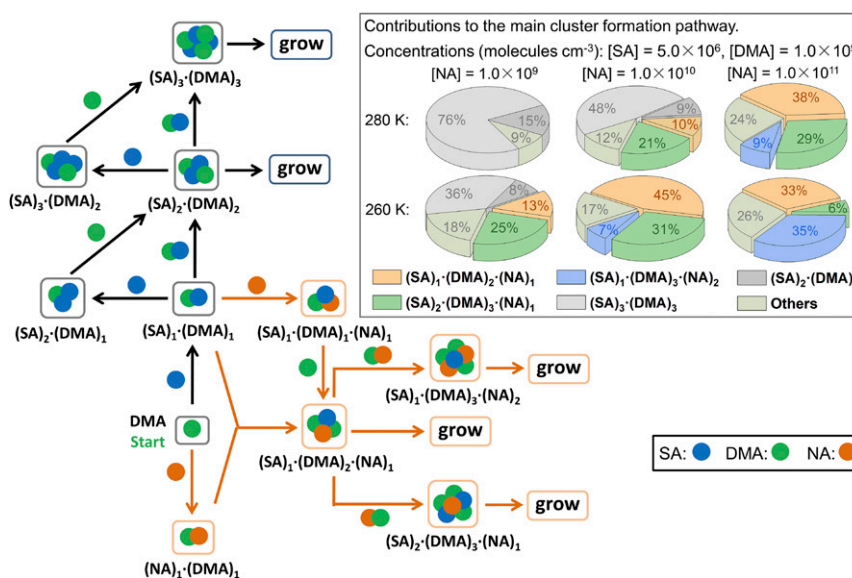


Fig. 3. Cluster formation pathways at different concentrations of NA at 280 K and 260 K. The contributions of different cluster formation pathways to the main cluster formation pathway are shown in the pie charts. Black and orange arrows indicate the pathways of pure SA-DMA cluster formation and SA-DMA-NA cluster formation, respectively.

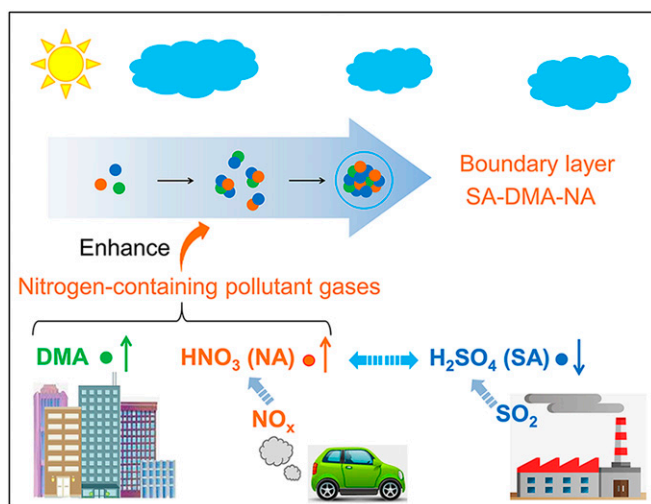


Fig. 4. Influence mechanisms of NA on SA-DMA-based cluster formation in the polluted boundary layer.

clusters and participate extensively in SA-DMA nucleation in the polluted atmospheric boundary layer with abundant NA and DMA. This enhancement mechanism can provide theoretical insights to explain the frequent occurrence of NPF events in the polluted boundary layer. Of particular note, the present study indicates that the control on emissions of nitrogen-containing pollutants (such as emissions from automobile exhausts and industry) can help to further reduce particulate pollution in the context of continuous emission controls of sulfur-containing pollutants to some extent.

Methods

To identify the structure with the lowest energy for each studied cluster, the ABCluster (28, 29) program was used to systematically generate initial cluster structures. All the molecules in the ABCluster program were described by the CHARMM36 force field (30). First, the 1,000 structures with relatively low energy were retrieved from ABCluster. Second, the PM7 semiempirical method (31) by MOPAC2016 (32) was used for optimization of these 1,000 structures. Third, up to 100 structures with low energies among the 1,000 structures were selected to be optimized at the M06-2X/6-31+G* level of theory (33, 34). Finally, the M06-2X/6-311++G(3df,3pd) (35) level of theory was chosen to reoptimize the 10 relatively stable structures among the 100 structures based on the excellent performance of this level of theory to calculate the properties of atmospherically relevant clusters (33, 36–40). Vibrational frequencies were calculated for the most stable structures of the studied clusters. All quantum chemistry calculations were carried out with

the Gaussian 09 package (41). Furthermore, given that the DLPNO-CCSD(T)/aug-cc-pVTZ level of theory is a good compromise between cost and efficiency to calculate the binding energy of clusters with high accuracy (42, 43), single-point energy calculations were undertaken at the DLPNO-CCSD(T)/aug-cc-pVTZ (44–46) level of theory using the ORCA program (47). The corresponding ΔG of formation at the DLPNO-CCSD(T)/aug-cc-pVTZ//M06-2X/6-311++G(3df,3pd) level for the studied clusters are listed in *SI Appendix, Table S6*.

Cluster formation rates and mechanisms were simulated by the ACDC model, which generates birth–death equations for clusters and explicitly solves the time development of cluster concentrations by numerical integration using the ode15s solver in MATLAB (48). Both the outside source term of nucleation precursors and the sink term of clusters corresponding to the coagulation onto preexisting larger particles are considered in the ACDC model. Although the DLPNO-CCSD(T)/aug-cc-pVTZ can provide more accurate energies than RI-CC2/aug-cc-pV(T+d)Z (43, 46, 49), the simulated SA dimer concentrations (50) and particle formation rates of SA-DMA system (*SI Appendix, Fig. S11*) by the combination of ACDC and DLPNO-CCSD(T)/aug-cc-pVTZ both are approximately one order of magnitude lower than the present available field-measured results, such as in Shanghai (14). However, the simulated SA dimer concentrations (50) and particle formation rates of ACDC and RI-CC2/aug-cc-pV(T+d)Z are in good agreement with the field measured results in Shanghai (14). Furthermore, the particle formation rates of the SA-DMA system predicted by the combination of ACDC and RI-CC2/aug-cc-pV(T+d)Z are in good agreement with both of the field-measured results in Shanghai (Fig. 1A) and the experimental results of the CLOUD chamber (51, 52). These agreements may be due to a random cancellation of errors, which is not within the scope of this study but should be revealed in forthcoming studies. Hence, we simulate the SA-DMA-NA clustering process at the RI-CC2/aug-cc-pV(T+d)Z level of theory to predict the experimental results.

The studied clusters are $(SA)_x(DMA)_y(NA)_z$, where $0 \leq y \leq (x+z) \leq 3$. The temperatures used herein, 280 K and 260 K, represent the common range of the atmospheric boundary layer. The concentrations of SA, DMA, and NA are in the common atmospheric concentration ranges of 1.0×10^6 to 1.0×10^8 molecules \cdot cm $^{-3}$ (14, 53, 54), 1.0×10^7 to 1.0×10^9 molecules \cdot cm $^{-3}$ (15), and 1.0×10^9 to 1.0×10^{11} molecules \cdot cm $^{-3}$ (55–61), respectively. Although the atmospheric water concentration is typically 8 to 10 orders of magnitude higher than those of other nucleation precursors (62), previous studies have found that the hydrogen bond interaction between water molecules and the acid (e.g., SA) or base (e.g., DMA) is weaker than that between acid and base molecules, and the influence of water on the clustering process (e.g., SA-DMA) is not significant (63–65). Given that both of SA and NA are relatively strong acids and DMA is a relatively strong base, it is expected that the SA-DMA-NA clustering process is not significantly affected by hydration. Hence, water molecules are not considered in the present study.

Data Availability. All data are available in the article and/or *SI Appendix*.

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