



## SIX Swiss Exchange message no. 43/2017

Subject **SWXess Maintenance Release 7 (SMR7) – Date of Introduction 23 October 2017**

Category SWXess Trading Platform

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Information 

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### Content of this message:

- Confirmation of introduction of SMR7 in the Production environment on 23 October 2017
- Publication of approved trading regulations effective 23 October 2017

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In [SIX Swiss Exchange message no. 40/2017](#), SIX Swiss Exchange published the preliminary trading regulations for SMR7 effective 23 October 2017.

This message provides participants with information concerning the introduction of SMR7 in the Production environment.

### Introduction

SIX Swiss Exchange hereby confirms the announced SMR7 introduction date in the Production environment as follows:

Date	Activity
21 and 22 October 2017	<b>Migration</b> to SMR7 in the <b>Production</b> environment
23 October 2017	<b>First trading day</b> with SMR7 in the <b>Production</b> environment Technically prepared but not activated until 3 January 2018: <ul style="list-style-type: none"><li>- Tick Size Harmonisation in line with MiFIDII</li><li>- Generate Transaction Reports from trades</li><li>- Transaction Reporting Interface (RTS)</li><li>- Double Volume Caps</li></ul>

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Please find further detailed information in relation to the rollout of SMR7 in the "[SMR7 - Participant Readiness Guide](#)".

### Participant Readiness

Please find information on the functional adjustments, regulatory changes as well as technical and migration details of SMR7 in the "[SMR7 – Participant Readiness](#)" brochure.

We would like to remind you that SMR7 is a **mandatory release** which requires changes on the Participants side.

More information on the SWXess Maintenance Release 7 is available on the dedicated SWXess Maintenance Releases (SMR) website as well as in the [Release Notes](#) that can be found on the SIX Swiss Exchange [Member Section](#).

### Regulations

The updated Rules, Directives and Guidelines for SMR7 **effective 23 October 2017** have been approved by FINMA and are available on the following website:

[http://www.six-swiss-exchange.com/participants/regulation/SMR7rulesdirectives\\_en.html](http://www.six-swiss-exchange.com/participants/regulation/SMR7rulesdirectives_en.html)

As of 23 October 2017 the trading Rules, Directives and Guidelines are available again on the following website:

[http://www.six-swiss-exchange.com/participants/regulation/rules\\_regs\\_en.html](http://www.six-swiss-exchange.com/participants/regulation/rules_regs_en.html)  
[http://www.six-swiss-exchange.com/participants/regulation/directives\\_en.html](http://www.six-swiss-exchange.com/participants/regulation/directives_en.html)

A detailed list of the adjustments to the Rules, Directives and Guidelines for SMR7 can be found in chapter 5 of the “SMR7 – Participant Readiness” brochure or under the following link on the SIX Swiss Exchange website:

[http://www.six-swiss-exchange.com/participants/regulation/revisions/rule\\_book\\_en.html](http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html)

SIX Swiss Exchange will publish the trading and reporting regulations including Guides which **enter into effect on 1 January 2018** by the end of October 2017 and will announce it by means of a SIX Swiss Exchange message.

We enhance and optimize the SWXess trading platform on an ongoing basis. As a result of the improvements in SMR7, you will be able to benefit from extremely high standards of quality, which guarantee efficient trading, reporting and post-processing.

If you have any questions, please do not hesitate to contact Member Services:

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Links to SIX Swiss Exchange:

[www.six-swiss-exchange.com](http://www.six-swiss-exchange.com) | [Member Section](#) | [Forms](#) | [Rules](#) | [Directives](#)