



SIX Swiss Exchange message no. 46/2017

Subject	SWXess Maintenance Release 7 (SMR7) – Publication Trading Regulation effective from 1 January 2018
Category	SWXess Trading Platform
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Information 

Content of this message:

- Updated “SMR7 - Participant Readiness” brochure
- Publication of approved trading regulations effective 1 January 2018
- Publication of approved Reporting Office Rules effective 1 January 2018

In [SIX Swiss Exchange message no. 43/2017](#), SIX Swiss Exchange published the trading regulations for SMR7 effective 23 October 2017.

SIX Swiss Exchange has successfully rolled out SMR7 in the Production environment as scheduled on 23 October 2017.

This message informs participants about the publication of the trading regulations as well as the Reporting Office Rules for SMR7 which enter into force on 1 January 2018 and provides further information about further SMR7 rollout activities.

Participant Readiness

Please find information on the functional adjustments, regulatory changes as well as further detailed information in relation to the rollout of SMR7 in the “[SMR7 – Participant Readiness](#)” brochure.

More information on the SWXess Maintenance Release 7 is also available on the dedicated SWXess Maintenance Releases (SMR) website as well as in the [Release Notes](#) that can be found on the SIX Swiss Exchange [Member Section](#).

Regulations

The following updated Rules, Directives and Guidelines for SMR7 **effective 1 January 2018** are now available on the SIX Swiss Exchange website:

Rules	http://www.six-swiss-exchange.com/participants/regulation/rules_regs_en.html
Directives	http://www.six-swiss-exchange.com/participants/regulation/directives_en.html
Guidelines	http://www.six-swiss-exchange.com/participants/regulation/guidelines_en.html

- **Rule Book** of SIX Swiss Exchange
- **Reporting Office Rules** of SIX Swiss Exchange
- **Directives**
 - o Directive 3: Trading
 - o Directive 5: Trading without pre-trade transparency
 - o Directive 7: Sponsored Access
- **Guidelines**
 - o Trading Parameters

A detailed list of the adjustments to the Rules, Directives and Guidelines for SMR7 can be found in chapter 5 of the “[SMR7 – Participant Readiness](#)” brochure or under the following link on the SIX Swiss Exchange website:

http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html

The Trading Guide as well as the Reporting Guide effective 1 January 2018 are now also available under the following link:

http://www.six-swiss-exchange.com/participants/regulation/trading_guides_en.html

We enhance and optimize the SWXess trading platform on an ongoing basis. As a result of the improvements in SMR7, you will be able to benefit from very high standards of quality, which guarantee efficient trading, reporting and post-processing.

If you have any questions, please do not hesitate to contact Member Services:

Phone: +41 58 399 2473

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Links to SIX Swiss Exchange:

www.six-swiss-exchange.com | [Member Section](#) | [Forms](#) | [Rules](#) | [Directives](#)