



SIX Swiss Exchange message no. 57/2017

Subject **Extension participants' rights and obligations – Amendment Rule Book effective 1 January 2018**

Category Regulation

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Date 21.12.2017

Information 

Content of this message:

- Extension of the participants' rights and obligations
- Amendment of Rule Book of SIX Swiss Exchange effective 1 January 2018

With this message SIX Swiss Exchange informs participants about the following amendments in the Rule Book of SIX Swiss Exchange effective 1 January 2018.

Like Swiss securities dealers, foreign participants on the stock exchange are obliged to meet the (equivalent) technical and operational requirements at all times and to inform the stock exchange immediately in the event of any related changes in circumstances.

In this relation the following amendments have been made to the SIX Swiss Exchange [Rule Book](#):

Document	Type of change	Reference	Title
Rule Book	New	Clause 4.3 para. 2	Appropriate organisation and registration obligations - Principle
	New	Clause 4.6 para. 1 let. c	Duty to provide information
	Amendment	Clause 18	Audit
	Amendment	Clause 28 para. 14	Revision

The amended Rule Book will enter into force on 1 January 2018 and is now available for download on the Six Swiss Exchange website via the following link:

http://www.six-swiss-exchange.com/participants/regulation/rules_regs_en.html

A detailed list of the revisions made to the rules of SIX Swiss Exchange can be found under the following link on the SIX Swiss Exchange website:

http://www.six-swiss-exchange.com/participants/regulation/revisions/rule_book_en.html

If you have any questions, please do not hesitate to contact Member Services:

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