




SIX Swiss Exchange message no. 29/2019

Subject	Publication of the new Rules of Arbitration, Declarations of Consent regarding the new Rules of Arbitration and Adjustment to the Procedural Provisions
Category	Regulations
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Information 

Content of this message:

- Publication of the new Rules of Arbitration for the Court of Arbitration of SIX Group Ltd (“Rules of Arbitration”) entering into force as of 1 July 2019
- Information on Declarations of Consent to be submitted by all trading participants until **5 July 2019**

This SIX Swiss Exchange message is to inform participants about the publication of new Rules of Arbitration, amended regulations, as well as the Declarations of Consent regarding amongst others the data protection clause.

Due to the transfer of the “SIX Exchange Regulation” division from SIX Swiss Exchange Ltd to SIX Exchange Regulation Ltd (see [Regulatory Board Communiqué No. 3/2018 dated 16 April 2018](#)), the entry into force of the new Arbitration Rules and the related adjustment of the previous arbitration clause as well as due to reasons of data protection new declarations of consent have been prepared. The declarations of consent are divided into two parts:

- 1) **Data Protection Clause:** Confirmation that participant has informed all traders and reporting agents about the use of his personal data by SIX Exchange Regulation Ltd due to legal and regulatory obligations (legitimate interest)
- 2) **Proceeding:** Specification on Clause of Arbitration, Place of Jurisdiction, etc.

Until now, arbitration proceedings had not been regulated in a separate set of Rules of arbitration. SIX Exchange Regulation Ltd, on behalf of the Regulatory Board, opened a hearing on 5 June 2018 regarding the introduction of the Rules of Arbitration. In the course of this regulatory project, various provisions in other regulations, such as the Rules of Procedure, were amended (see [Regulatory Board Communiqué No. 3/2019 dated 9 May 2019](#)).

We ask all trading participants to sign the declaration of consent and return it within 20 Exchange trading days from receipt of the letter, at the latest by **5 July 2019** to SIX Swiss Exchange AG, Member Services, P.O. Box 1758, 8021 Zurich. The forms will be sent to the participants within the next days. The forms can be requested from Member Services.

Participants, who are also issuers on SIX Swiss Exchange must submit declarations of consent for issuers in addition to the declarations of consent for participants.

If you have any questions, please do not hesitate to contact Member Services:

Phone: +41 58 399 2473

E-mail: member.services@six-group.com

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