SIX Swiss Exchange Message no. 39/2019

Title	**SWXess Maintenance Release 8.1 (SMR8.1) –**
	Publication of the "SMR8.1 – Participant Readiness" brochure
Category	SWXess Trading Platform
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Pages	2
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Information **i**

Content of this message:

- Initial publication of "SMR8.1 – Participant Readiness" brochure and "Release Notes for SMR8.1"
- Announcement of parallel phase to migrate the new Transaction Reporting Interface (TRI - CH Style)

In SIX Swiss Exchange message no. 32/2019, SIX Swiss Exchange announced the optional SWXess Maintenance Release 8.1 (SMR8.1), which contains **mandatory changes in transaction reporting in the Swiss format** in accordance with FINMA Circular 2018/2 "Duty to report securities transactions."

In this message and in the "SMR8.1 – Participant Readiness" brochure, SIX Swiss Exchange informs participants in detail about the business-related changes in SMR8.1.

Participant readiness

Detailed business-related information on SMR8.1 can be found in the "SMR8.1 – Participant Readiness" brochure published with this message. The brochure contains all currently available information about the functional changes and regulatory effects of SMR8.1 as well as details concerning the migration, Membertest phase and rollout. It also contains links to other documents that are relevant for SMR8.1.

More information on the SWXess Maintenance Release 8.1 can be found on the SWXess Maintenance Release (SMR) web page. Further technical information can be found in the "Release Notes for SMR8.1" in the Member Section of SIX Swiss Exchange.

Transaction Reporting Interface (TRI - CH Style): Parallel Phase

Please note that SIX will offer its participants a **parallel phase** from **28 October 2019** to **31 January 2020**. During this parallel phase, participants can migrate to the new and improved Transaction Reporting Interface (TRI - CH Style) on a date of their own choosing. For the parallel phase, certain restrictions apply. For more information on these restrictions, see section 6 in the "SMR8.1 – Participant Readiness" brochure.

SIX Swiss Exchange continuously strives to expand and improve its range of services and products, as well as its SWXess trading platform. Our participants can benefit from the improvements of SMR8.1, which will ensure continued efficiency in the handling of statutory reporting obligations.

SWISS EXCHANGE

If you have any questions, please do not hesitate to contact Member Services:

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Links to SIX Swiss Exchange:

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