



## SIX Swiss Exchange Message No. 59/2019

Title	<b>Amendments to the List of Charges under the Listing Rules as of 1 January 2020 in connection with the admission to trading and maintaining admission to trading for Sponsored Segments as well as the new prospectus regulations pursuant to FinSA and FinSO as of 2 January 2020</b>
Category	Regulations
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Pages	2
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Information 

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### Content of this message:

- Reduction of fees for admission to trading for Sponsored Foreign Shares and Sponsored Funds from 1 January 2020
- Introduction of an annual fee for maintaining admission to trading for index funds in the Sponsored Funds trading segment
- Publication of the amended List of Charges under the Listing Rules, which enters into force on 1 January 2020
- Amendments to the regulations in connection with the new prospectus regulations pursuant to FinSA and FinSO and the amended List of Charges under the Listing Rules as of 2 January 2020

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SIX hereby notifies participants about the **amendments to the List of Charges under the Listing Rules as of 1 January 2020** in connection with the fees for admission to trading and maintaining admission to trading for Sponsored Segments as well as the new prospectus regulations pursuant to FinSA and FinSO as of 2 January 2020.

### Fees for admission and maintaining of admission to trading in Sponsored Segments

SIX Swiss Exchange Ltd is pleased to inform participants about the reduction of the fees for admission to trading in the Sponsored Segments. As of 1 January 2020, SIX Swiss Exchange Ltd is reducing the fees for admission to trading in Sponsored Foreign Shares and Sponsored Funds to CHF 25 per security.

For maintaining admission to trading for index funds in the Sponsored Funds trading segment, each sponsoring securities dealer will now be charged a basic annual fee dependent on the number of index funds admitted to trading:

Number of index funds admitted to trading	Fee for each index fund admitted to trading
1 to 10	CHF 3,000
11 to 20	CHF 1,500
21 to 30	CHF 1,000
from 31	CHF 500

Further details about the fees for the admission and maintaining of admission to trading in Sponsored Segments can be found in Section 7 and Annex F of the List of Charges under the Listing Rules.

### **New prospectus regulations under FinSA and FinSO**

With the Financial Services Act (FinSA) and the Financial Services Ordinance (FinSO) coming into force on 1 January 2020 new prospectus regulations among other things will be introduced. Based on the final regulations at federal level, the new regulations of the SIX trading venues will be adapted to the new prospectus regulations as of 2 January 2020. The key change is the removal of the passages relating to the listing prospectus. Until the end of the transitional period under Art. 109 FinSO, applicants may continue to have applications examined and approved in accordance with the provisions applicable prior to the entry into force of the new regulations.

### **List of Charges under the Listing Rules**

The List of Charges under the Listing Rules of SIX Swiss Exchange Ltd has been amended to reflect the mentioned changes regarding the Sponsored Segments and will enter into force on 1 January 2020. The revised List of Charges under the Listing Rules, along with the additional amendments required due to FinSA, will enter into force together with the FinSA regulations as of 2 January 2020. The amended versions of the List of Charges under the Listing Rules can be downloaded from the SIX Swiss Exchange Ltd website at:

[https://www.six-group.com/exchanges/participants/regulation/guidelines\\_en.html](https://www.six-group.com/exchanges/participants/regulation/guidelines_en.html)

A detailed list of the revisions to the SIX Swiss Exchange Ltd guidelines is available on the SIX Swiss Exchange Ltd website at:

[https://www.six-group.com/exchanges/participants/regulation/revisions/guidelines\\_en.html](https://www.six-group.com/exchanges/participants/regulation/revisions/guidelines_en.html)

Further information on the amendments to the issuer regulations as of 1 January 2020 can be found in [Regulatory Board Communiqué No. 6/2019](#) and on the amendments as of 2 January 2020 in [Regulatory Board Communiqué No. 7/2019](#).

SIX is committed to the ongoing development of its tariff models and to continuously adjusting them in line with market requirements.

If you have any questions, please do not hesitate to contact Member Services:

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