

## SIX Swiss Exchange message no. 42/2020

Subject	<b>SWXess Maintenance Release SMR9 (SMR9) – Publication of trading regulations</b>
Category	Regulation
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Pages	2
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Information 

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### Content of this message:

- Updated “SMR9 – Participant Readiness” brochure published (English)
- Publication of trading regulations for SMR9 effective from 7 December 2020
- Rollout of SMR9 in the Production environment planned for 7 December 2020

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In [SIX Swiss Exchange message no. 34/2020](#), the Swiss Stock Exchange confirmed the start of the Membertest phase for the mandatory SWXess Maintenance Release 9 (SMR9), which will introduce the new “Quote on Demand” trading service and decommission the market code “XVTX”.

With this message we inform participants about the publication of the trading regulations for SMR9.

### Participant Readiness

The **updated** “[SMR9 – Participant Readiness](#)” brochure published with this message now also contains detailed information on the regulatory changes of SMR9. The changes since the last version of the document can be found in the document history of this brochure.

More information on the SWXess Maintenance Release 9 (SMR9) can be found on the [SWXess Maintenance Release \(SMR\)](#) web page and in the [Member Section](#) of SIX.

### Trading Regulations

The following Rules, Directives and Guidelines are affected by changes introduced with SMR9 and have been amended accordingly:

- **Rules** of SIX Swiss Exchange Ltd
  - Trading Rules
- **Directives** of SIX Swiss Exchange Ltd
  - Directive 2: Technical Connectivity
  - Directive 5: Alternative Trading
- **Guidelines** of SIX Swiss Exchange Ltd
  - List of Charges under the Trading Rules
  - “Trading Parameters” Guideline

The amended trading regulations for SMR9 enter into force on 7 December 2020 and are now published under the following link on the SIX Swiss Exchange Ltd website:

<https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/trading-provisions/regulation.html>

A detailed list of the amendments to the Rules, Directives and Guidelines for SMR9 can be found in chapter 5 of the “SMR9 – Participant Readiness” brochure (English) or under the following link on the SIX Swiss Exchange website:

<https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html>

### Testing

SMR9 has been available for testing in the Membertest environment since 14 September 2020. During this phase, all participants are able to test their business-relevant processes, functionalities and applications.

### Rollout

The rollout of SMR9 in the Production environment is planned for 7 December 2020. The Swiss Stock Exchange will confirm the Production go-live of SMR9 by 30 November 2020 via a SIX Swiss Exchange message.

The Swiss Stock Exchange continuously strives to expand and optimize its range of services and products, as well as its SWXess trading platform. Our participants can benefit from the improvements of SMR9, which will ensure continued efficiency in trading on the exchange.

If you have any questions, please do not hesitate to contact Member Services:

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Links to SIX Swiss Exchange:

[www.six-group.com](http://www.six-group.com) | [Member Section](#) | [Forms](#) | [Rules](#) | [Directives](#)