



SIX Swiss Exchange message no. 32/2021

Subject	SWXess Maintenance Release SMR10 (SMR10) – Publication of trading regulations with entry into force on 6 December 2021
Category	SWXess Trading Platform
Authorized by	Alain Picard, Head Products Rebecca Stasolla, Head Change Delivery
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Information 

Content of this message:

- Updated “SMR10 – Release Guide” brochure published
- Publication of trading regulations for SMR10 effective from 6 December 2021
- Rollout of SMR10 in the Production environment planned for 6 December 2021

In [SIX Swiss Exchange message no. 28/2021](#), the Swiss Stock Exchange informed participants about the revised schedule for the introduction of the SWXess Maintenance Release 10 (SMR10) in the Production environment.

This message informs participants about the publication of the trading regulations for SMR10 with entry into force on 6 December 2021.

Release Guide

The **updated** “[SMR10 – Release Guide](#)” brochure published with this message now also contains detailed information on the regulatory changes of SMR10. The changes since the last version of the document can be found in the document history of this brochure.

More information on the SWXess Maintenance Release 10 (SMR10) can be found on the [SWXess Maintenance Release \(SMR\)](#) web page and in the [Member Section](#) of SIX Swiss Exchange AG.

Trading Regulations

The following Rules, Directives and Guidelines are affected by changes introduced with SMR10 and have been amended accordingly:

- **Rules** of SIX Swiss Exchange AG
 - Trading Rules
 - Reporting Office Rules
- **Directives** of SIX Swiss Exchange AG
 - Directive 2: Technical Connectivity
 - Directive 3: Trading
 - Directive 5: Alternative Trading
 - Directive 7: Sponsored Access
- **Guidelines** of SIX Swiss Exchange AG

- "Trading Parameters" Guideline
- List of Charges under the Trading Rules
- List of Charges under the Reporting Rules

The amended trading regulations for SMR10 enter into force on 6 December 2021 and are now published under the following link on the SIX Swiss Exchange AG website:

<https://www.six-group.com/en/products-services/the-swiss-stock-exchange/trading/trading-provisions/regulation.html>

A detailed list of the amendments to the Rules, Directives and Guidelines for SMR10 can be found in chapter 6 of the "SMR10 – Release Guide" brochure or under the following link: <https://www.ser-ag.com/en/resources/laws-regulations-determinations/archive.html>

Testing

The Membertest phase for SMR10 has begun as scheduled on 30 August 2021, and the enhancements have been introduced in the Membertest environment as announced on 4 October 2021 and 1 November 2021. Since then, all participants are able to test their business-relevant processes, functionalities and applications for the mandatory changes.

Rollout

The rollout of SMR10 in the Production environment is planned for 6 December 2021. The Swiss Stock Exchange will confirm the Production go-live of SMR10 by 26 November 2021 via a SIX Swiss Exchange message.

The Swiss Stock Exchange continuously strives to expand and optimize its range of services and products, as well as its SWXess trading platform. Our participants can benefit from the improvements of SMR10, which will ensure continued efficiency in trading on the exchange.

If you have any questions, please do not hesitate to contact Member Services:

Phone: +41 58 399 2473

E-mail: member.services@six-group.com

Links to SIX Swiss Exchange AG:

www.six-group.com | [Member Section](#) | [Forms](#) | [Rules](#) | [Directives](#)